



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2499

DATE SCANNED

6/27/12

SCANNER NO.

2

SCAN OPERATOR

SES

12092680793



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2011 DEC -8 P 3 52

December 8, 2011

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: *[Signature]* Alec Palmer
Staff Director

FROM: Patricia Carmona *PC*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: *[Signature]* Jodi Winship/Sari Pickerall *JP*
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2011 October Monthly Report for the
Administrative Fine Program

Attached is the name of a political committee and its treasurer who failed to file the 2011 October Monthly Report in accordance with 2 U.S.C. 434(a). The October Monthly Report was due on October 20, 2011.

The committee listed in the attached RTB Circulation Report failed to file the report. In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, this committee should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

1. Find reason to believe that the political committee and its treasurer listed on the RTB Circulation Report violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the RTB Circulation Report.
2. Send the appropriate letter.

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Federal Election Commission
Reason to Believe Circulation Report
2011 OCTOBER MONTHLY Not Election Sensitive 10/20/2011 P_UNAUTH

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
2499	C00215285	GENERAL ATOMICS POLITICAL ACTION COMMITTEE		KAREN A. BALDWIN	\$368,982	0	10/26/2011	6	\$53,565	\$825

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –) AF 2499
2011 October Monthly Report for the)
Administrative Fine Program)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on December 12, 2011, the Commission decided by a vote of 6-0 to take the following actions in AF 2499:

1. Find reason to believe that General Atomics Political Action Committee and Karen A. Baldwin, Treasurer, violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be in the amount of \$825.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

December 12, 2011
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

12092680796



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

December 13, 2011

Karen A. Baldwin, in official capacity as Treasurer
General Atomics Political Action Committee
P.O. Box 85608
San Diego, CA 92186

C00215285
AF#: 2499

Dear Ms. Baldwin:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file an October Monthly Report of Receipts and Disbursements covering the period through September 30th. This report shall be filed no later than October 20th. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on October 26, 2011, six (6) days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On December 12, 2011, the FEC found that there is reason to believe ("RTB") that General Atomics Political Action Committee and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before October 20th. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$825. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$825 is due within forty (40) days of the finding, or by January 21, 2012, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$53,565
Number of Days Late: 6
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street,

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NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or January 21, 2012. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declaration. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that General Atomics Political Action Committee and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

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3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Cynthia L. Bauerly
Chair

12092680799

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$825 for the 2011 October Monthly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by January 21, 2012. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: General Atomics Political Action Committee

FEC ID#: C00215285

AF#: 2499

PAYMENT DUE DATE: January 21, 2012

PAYMENT AMOUNT DUE: \$825

12092680800



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

2012 FEB -1 P 4:54

SENSITIVE

February 1, 2012

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia Carmona *PC*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: *WP* *SP*
Aodi Winship/Sari Pickeral
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for the
2011 October Monthly Report

Attached is a list identifying a political committee and its treasurer against which the Commission has found reason to believe (RTB) and assessed proposed a civil money penalty calculated at RTB for failure to file or failure to timely file the 2011 October Monthly Report. The committee has paid the civil money penalty requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondent that has paid the civil money penalty.

RAD Recommendation

- (1) Make final determination that the political committee and its treasurer listed on the attached report violated 2 U.S.C. § 434(a) and assess the final civil money penalty so indicated.
- (2) Send the appropriate letter.

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Federal Election Commission
FD Circulation Report Fine Paid
2011 OCTOBER MONTHLY Not Election Sensitive 10/20/2011 P_UNAUTH

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
2499	GENERAL ATOMICS POLITICAL ACTION COMMITTEE		C00215285	KAREN A. BALDWIN	10/28/2011	6	\$53,595	0	12/12/2011	\$825	\$825	01/09/2012	\$825

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Administrative Fine Program – Final) AF 2499
Determination Recommendation for the)
2011 October Monthly Report)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on February 03, 2012, the Commission decided by a vote of 6-0 to take the following actions in AF 2499:

1. Make a final determination that General Atomics Political Action Committee and Karen A. Baldwin, Treasurer violated 2 U.S.C. § 434(a) and assess the final civil money penalty in the amount of \$825.00.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

February 6, 2012
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

12092680803



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 6, 2012

Karen A. Baldwin, in official capacity as Treasurer
General Atomics Political Action Committee
P.O. Box 85608
San Diego, CA 92186

C00215285
AF#: 2499

Dear Ms. Baldwin:

On December 12, 2011, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that General Atomics Political Action Committee and its treasurer violated 2 U.S.C. § 434(a) for filing late or failing to file the 2011 October Monthly Report. By letter dated December 13, 2011, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$825 in accordance with the schedule of penalties at 11 CFR § 111.43.

On January 9, 2012, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on February 3, 2012 that you, in your official capacity as treasurer, and General Atomics Political Action Committee violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$825 in accordance with 11 CFR § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 CFR § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

A handwritten signature in cursive script, appearing to read "Caroline C. Hunter".

Caroline C. Hunter
Chair

12092680804

FOR: General Atomics Political Action Committee

FEC ID#: C00215285

AF#: 2499


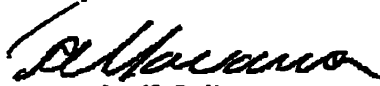
PAYMENT DUE DATE: January 21, 2012

PAYMENT AMOUNT DUE: \$825

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 001 \$ 0000082500 BA# 1 01-09-12 20 4



us bancorp.
The Star Bank Company

 GENERAL ATOMICS PO BOX 65508 SAN DIEGO, CALIFORNIA 92165-5508		0220309	
NELLON BANK N.A. PITTSBURGH, PENNSYLVANIA in cooperation with Bank of the West		DATE 01/03/2012	CHECK NO. 0220309
VOID AFTER 90 DAYS			
PAY *****EIGHT HUNDRED TWENTY-FIVE AND 00/100 DOLLARS*****		NET AMOUNT *****825.00*****	
TO THE ORDER OF	FEDERAL ELECTION COMMISSION PO BOX 979058 ST LOUIS MO 63197-9000		
		 Senior Vice President AUTHORIZED SIGNATURE	



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2499

DATE SCANNED 6/27/12

SCANNER NO. 2

SCAN OPERATOR EEJ

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